

## **Effect of Lung Squeezing Technique on Vital Signs and X-Ray Findings in Neonates with Respiratory Distress Syndrome**

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### **Abstract**

**Background:** Respiratory problems like Respiratory Distress Syndrome (RDS) in neonates is one of the leading causes of neonatal morbidity and mortality in developing countries. These respiratory problems are seen in premature neonates, which mainly include RDS.

**Objective:** To investigate the efficacy of lung squeezing technique on vital signs and X-ray findings in neonates with respiratory distress syndrome.

**Study Design:** Prospective, randomized controlled study.

**Subjects, Materials and Methods:** This randomized controlled trial included 30 neonates with RDS under mechanical ventilation. Their ages ranged from 3-15 days. The participants were randomly allocated into 2 equal groups. The control group received conventional physiotherapy (CPT), while the study group received CPT in addition to Lung Squeezing Technique (LST) for 20 minutes duration per session, two sessions per day, for a period of 7 days. Vital signs [heart rate (HR), respiratory rate (RR), systolic arterial pressure (SAP), diastolic arterial pressure (DAP), Temperature and oxygen saturation (SaO<sub>2</sub>)] were measured and chest X-ray was done. All measurements were recorded at baseline, 2 days and 7 days of intervention in this study.

**Results:** Significant improvement was recorded in vital signs (HR, RR, SAP, DAP, Temperature, SaO<sub>2</sub>) in favor to study group, while there was no significant difference regarding X-ray findings between both groups.

**Conclusion:** Lung squeezing technique is an excellent supplement to conventional chest physiotherapy in managing RDS in neonates.

**Key Words:** Lung squeezing technique, Neonates, Respiratory distress syndrome, Vital signs, X-ray findings.

### **1. Introduction**

The RDS is common, affecting up to 7% of all term newborns, and is increasingly common in even modest prematurity [1]. Deaths, associated to the disease, usually occur during acute phase of respiratory failure and are largely limited to extremely immature newborns which birth weight is lower than 1000 g [2]. In fact, nearly all infants born before 28 weeks of pregnancy develop RDS [3]. Although the primary risk factor is prematurity, a number of additional factors need to be contemplated. In addition to prematurity, short gestational age, acidosis, asphyxia, maternal diabetes and cesarean section can increase the risk of RDS [4]. Progressive and high-frequency

respiratory insufficiency, breathlessness due to immaturity and atelectasis of the lungs characterize the RDS .It is reported that although it has been linked to a qualitative and quantitative dysfunction of the pulmonary surfactant system, its replacement has been associated with a sustained improvement in lung function and a reduction in the mortality rate [5].

Clinical manifestations of RDS have varying degrees of tachypnea, nasal flaring, retractions, moaning, and cyanosis. Apnea can occur secondary to hypoxemia and respiratory failure. In more severe cases, with disseminated micro atelectasis, there is a reduction of vesicular murmur [6].

Oxygen therapy is commonly used in Neonatal Intensive Care Unit(NICU) as an integral part of respiratory support. Prolonged oxygen therapy can lead to accumulation of excess of bronchial secretions, which need to be removed using chest physiotherapy. [7].

Conventional chest physiotherapy (CPT) has become an integral part of airway management in NICU settings[8] with an aim to remove excess of bronchial secretions, thus improving oxygenation [9]. Various manual techniques for airway clearance are used in neonatal settings, including postural drainage, percussion, and vibration based on rationale to facilitate secretion removal and are used as conventional treatment [10]. Lung Squeezing Technique is one of these techniques that have been shown to be beneficial in correcting atelectasis in infants.

Reported complications of CPT include hypoxemia, rib fractures and cerebral injuries and encephaloclastic porencephaly [11]. Therefore, this study was conducted to test the hypothesis that LST in addition to CPT program would reduce RDS and improve vital signs and chest X-ray findings of newborns when compared with a program of CPT alone.

## **2. Subjects, Materials and Methods**

### **Subjects**

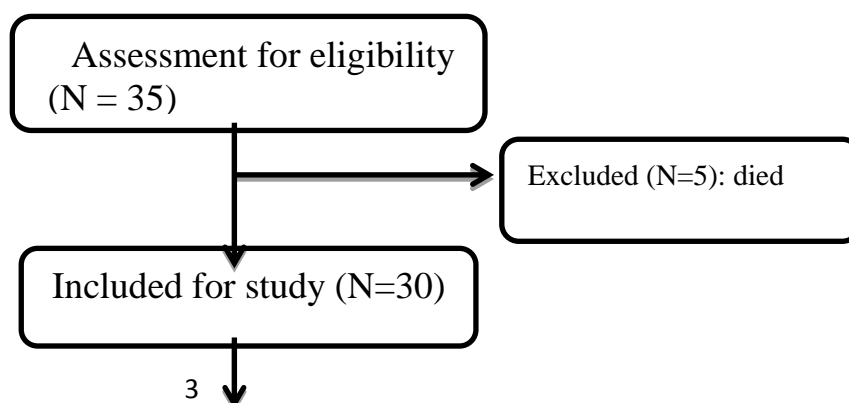
Thirty five incubated neonates of both sexes suffering from Respiratory Distress Syndrome were selected from neonatal intensive care unit of Benha Children Hospital, they were equally divided in random into two groups : ( Control group and

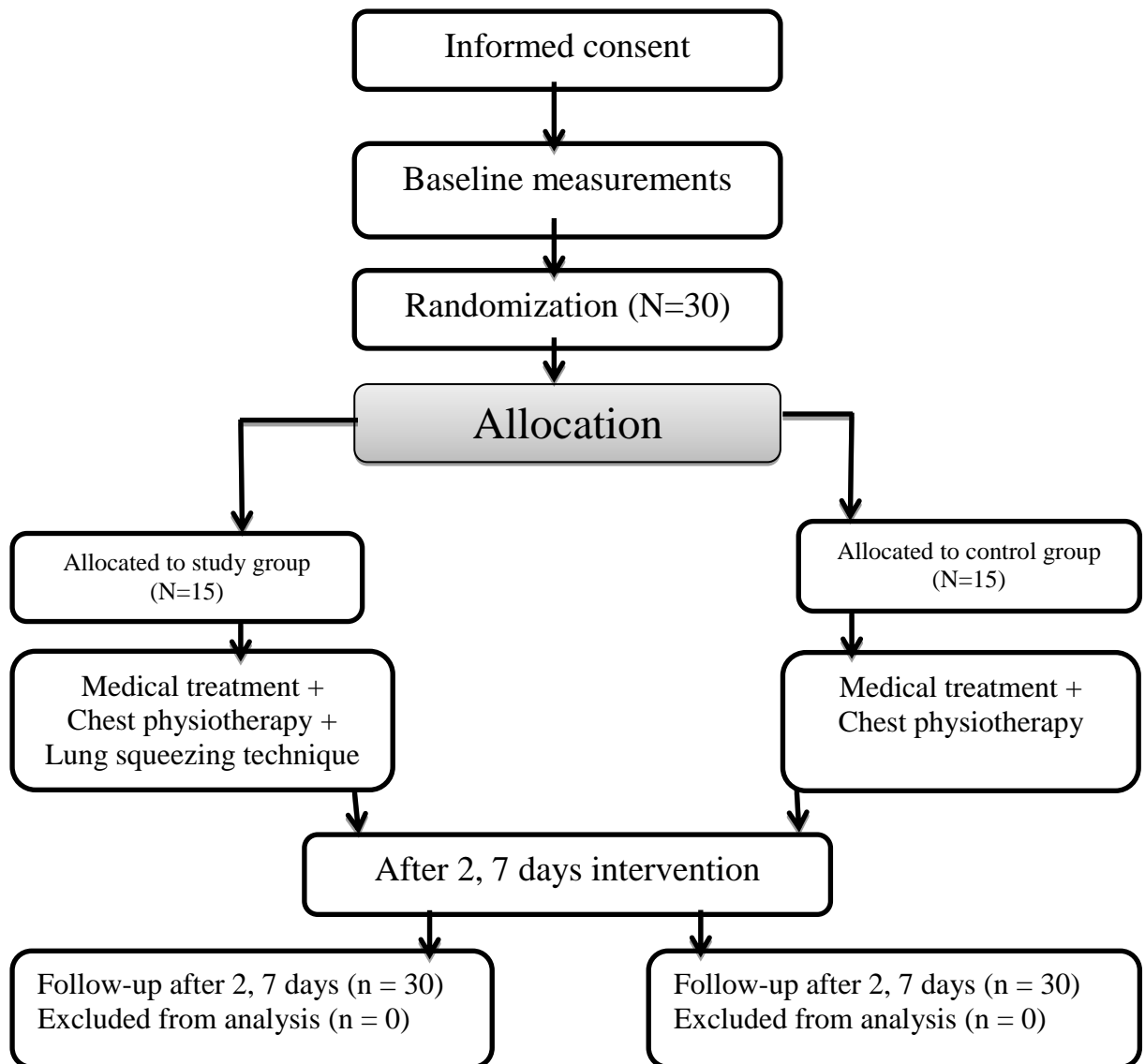
Study group ).

We considered the following inclusion criteria: gestational age of 30 to 37 weeks under O<sub>2</sub> therapy; clinical and radiological diagnosis of RDS. The radiological diagnosis (X-ray findings) was based on diffuse reticulogranular infiltrate (ground glass appearance) [12]. Clinical diagnosis was established when the newborn presented early respiratory distress (tachypnea, expiratory grunt, nasal flaring, chest retraction and cyanosis), early onset and progressive evolution [13]. They had RDS score  $\geq 6$  according to Downes' score [14].

The newborn who had one or more of the following criteria were excluded from the study: newborn with congenital malformations, asphyxia at time of birth, genetic syndromes, neurological disorders or congenital infection with clinical manifestations, seizures, who underwent surgical procedures, withintra-ventricular hemorrhage (IVH) or major cerebral abnormality. Intra-ventricular hemorrhage was defined according to the classification described by Tudehope et al. [15]: Grade 1- IVH subependymal hemorrhage, grade 2- IVH filling  $<50\%$  of the ventricle, grade 3- IVH filling  $>50\%$  of the ventricle and grade 4- IVH with parenchymal involvement. Major cerebral abnormality defined as one or more of the following: cerebral cyst formation (porencephalic cyst, periventricular leukomalacia, Periventricular-intraventricular hemorrhage, hydrocephalus [16].

35 newborns were assessed for eligibility. 5 were discontinued as they died while conducting the experimental protocol and 30 were enrolled in the study. Following the baseline measurements, randomization process was performed using closed envelopes. The investigator prepared two closed envelopes with each envelope containing a card labeled with either control group or study group. Finally, for each newborn we drew a closed envelope that contained one of the two groups. The study design is demonstrated as a flow chart in **Fig.1**.





**Figure (1): Flow chart explain the randomization process**

**Materials and equipment:**

- **For Evaluation**

Vital signs data were recorded from the monitors in the NICU and from recording sheets of each case. Chest X ray was done using portable X-ray machine (PLX101).

- For Treatment

Vibrator (THRIVE 714) was used for applying vibration during postural drainage positions. Suction apparatus (LT9A-26D) used for suction of endotracheal tube and nasopharyngeal secretions

## **Methods**

- **For evaluation**

Vital signs including heart rate (HR), respiratory rate (RR), systolic arterial pressure (SAP) and diastolic arterial pressure (DAP), temperature and oxygen saturation (SaO<sub>2</sub>) measured. Chest X-ray was done. X-rays were interpreted by a pediatric radiologist who was blinded to group allocation. All measurements were recorded pre, after 2 days and 7 days of intervention.

- **For treatment**

Patients of both groups were incubated and controlled medically by neonatologist. Outcome measures were assessed at baseline, after 2 days and after 7 days from the beginning of the study.

### **A. Control group**

Newborns of the control group received medical treatment and conventional chest physiotherapy program sessions which include; postural drainage positions which were applied for 3-5 minutes for each segment with vibration and percussion [17]. The chest physical therapy sessions were applied 2 times daily for 6 days/week, each session was about 20 minutes, according to the neonate tolerance. (SaO<sub>2</sub>) and HR were maintained and analyzed during the entire CPT. According to Santos et al. [18] in cases of SpO<sub>2</sub> reduction below 87%, tachycardia or bradycardia (alterations >15% of that predicted for the age), the intervention was interrupted and FiO<sub>2</sub> increased by 10% over the baseline level. The CPT included the following:

**i. Postural drainage**

The patient's chest radiograph was reviewed and chest auscultation was performed prior to CPT to identify areas of particular involvement. Depending on the location of coarse crepitations, presence of secretions and the newborn tolerance, appropriate drainage positions were applied with avoidance of head down position and excessive neck flexion/extension.

**ii. Percussion**

Chest percussion is refined by the utilization of rising three fingers, four fingers, or utilizing any of the financially accessible percussion gadgets made for neonates. A little anesthesia veil or "palm glass" was utilized successfully.

**iii. Vibration**

It takes after percussion through manual vibratory movement of the advisor's fingers on the baby's chest divider vibrator. Physically by putting the fingers of one hand on the chest divider over the section being depleted with isometric getting the muscles of the lower arm and hand to cause a delicate vibratory movement and other hand bolster the child's head.

**B. Study group**

Newborns of study group received the same program for control group in addition to LST.LST is used to restore homogeneous inflation of the lungs by means of small amplitude oscillatory chest wall compressions. It differs from conventional chest vibration and percussion in the following aspects: each set of "Lung Squeezes" consists of three to four cumulative chest compressions lasting for 5 seconds, followed by a gentles low "release phase", with the chest wall completely released; the second compressions are performed successively for 5 minutes on one hemi thorax, then 5 minutes on the other hemi thorax. [19].The infant should be in

supine position, and without body tilt, for a total of 10 minutes. Use both hands to perform the squeeze on one hemi thorax at one time. Place One hand on the posterolateral aspect of the hemi thorax and the other hand covered the anterior chest extending from the lower ribs to above the clavicle of the infant. [20].These compressions are given without vibration and not in a gravity-assisted position. In order to minimize the potentially deleterious effect of lowering the end expiratory lung volume, the delivery of the chest compressions is not intended to be in synchrony with the infant's breathing pattern, and full range compression from full inspiration to end expiration is avoided. [21].

### **Statistical analysis**

Data management and statistical analysis were done using SPSS vs.25. (IBM, Armonk, New York, United states).Comparisons between two groups were done using Mann Whitney U test for numerical data. Categorical data was compared using Chi-square test or Fisher's exact test when appropriate. All P values were two sided. P values less than 0.05 were considered significant.

### **3. Results**

#### **▪ Characteristics of the Enrolled Newborns:**

The mean and standard deviation of the characteristics of enrolled newborns at the beginning of the study including;age (days) at enrollment and gender are presented in **Table 1**.No significant differenceswere recorded between both groups ( $P>0.05$ ) which

revealed that both groups were matched before starting of the study.

**Table (1) Demographic characteristics in both groups**

Variable		Study (n = 15)	Control (n = 15)	P value
<b>Age (days)</b>	Median (range)	5 (3 - 15)	5 (1 - 20)	0.389
<b>Gender</b>	Males	12 (80)	8 (53.3)	0.121
	Females	3 (20)	7 (46.7)	

Mann Whitney U test was used for age. Chi-square test was used for gender

▪ **Vital Signs:**

The collected data from this study represent the statistical analyses of the vital signs including HR, RR, SAP, DAP, Temperature and SaO<sub>2</sub>.

There were no significant differences between both groups in all measuring variables before starting the treatment suggesting proper sample subdivision, while after 7 days of intervention, the results showed significant differences in all measuring vital signs between both groups (P <0.05) in favor of the study group as demonstrated in **table 2** and **figure 2**.

**Table (2) Vital signs pre procedure, after 2 days and after 7 days in both groups**

Variable	Timing		Study (n = 15)	Control (n = 15)	P value
<b>Heart rate</b>	Pre	Mean ±SD	144 ±6	148 ±10	0.258
	After 2 days	Mean ±SD	139 ±5	149 ±6	<0.001
	% change	Median (range)	-4.1 (-9.1 - 8.6)	1.4 (-6.7 - 10.3)	0.013
	After 7 days	Mean ±SD	134 ±6	151 ±7	<0.001

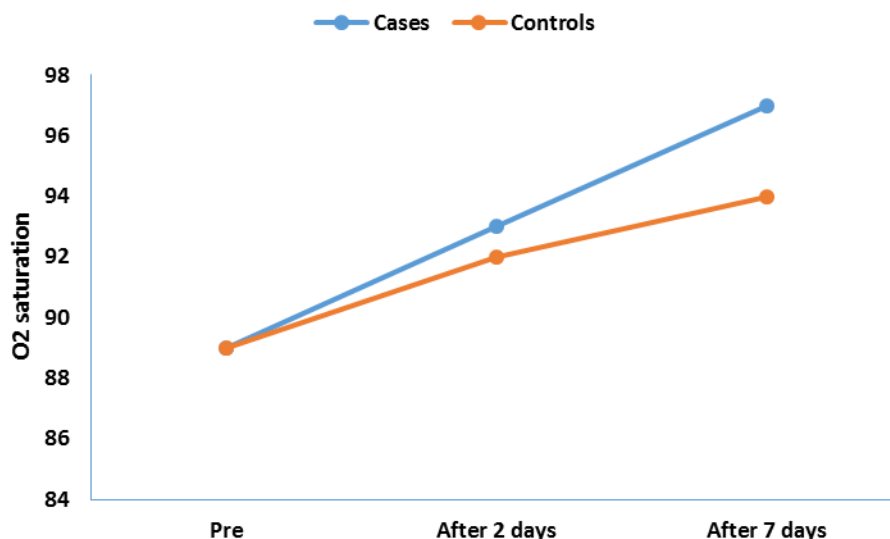


	% Change	Median (range)	-7.1 (-15.5 - 1.5)	2.1 (-6.1 - 11.9)	<0.001
<b>Respiratory rate</b>	Pre	Mean ±SD	48 ±3	47 ±6	0.174
	After 2 days	Mean ±SD	42 ±4	48 ±5	<0.001
	% change	Median (range)	-11.1 (-32.7 - -4)	-2.2 (-16.7 - 25)	<0.001
	After 7 days	Mean ±SD	35 ±7	49 ±4	<0.001
	% Change	Median (range)	-27.1 (-46.2 - -4.0)	6.4 (23.1 - 20.9)	<0.001
<b>SBP</b>	Pre	Mean ±SD	91 ±6	88 ±9	0.539
	After 2 days	Mean ±SD	94 ±3	91 ±8	0.25
	% change	Median (range)	2.2 (-7.1 - 20)	3.4 (-6.8 - 13.6)	1
	After 7 days	Mean ±SD	99 ±4	90 ±9	<0.001
	% Change	Median (range)	9.4 (-10 - 22.5)	2.3 (-6.3 - 15.9)	0.004
<b>DBP</b>	Pre	Mean ±SD	55 ±9	54 ±10	0.775
	After 2 days	Mean ±SD	57 ±7	54 ±9	0.486
	% change	Median (range)	7.7 (-29.2 - 75)	-3.8 (-24.3 - 37)	0.345
	After 7 days	Mean ±SD	64 ±6	54 ±7	<0.001
	% Change	Median (range)	18.2 (-16.1 - 80.6)	1.7 (-31.9 - 50)	0.041
<b>Temperature</b>	Pre	Mean ±SD	37.1 ±0.3	37 ±0.4	0.539
	After 2 days	Mean ±SD	37.1 ±0.1	37 ±0.3	0.87
	% change	Median (range)	0 (-0.8 - 1.4)	0 (-1.4 - 2.5)	0.935
	After 7 days	Mean ±SD	37 ±0.1	37.2 0±.2	0.002
	% Change	Median (range)	-.5 (-1.3 - 1.9)	0.3 (-0.5 - 2.5)	0.009

Mann Whitney U test was used. SBP = Systolic blood pressure. DBP = Diastolic blood pressure.  
% Change was calculated from baseline

- **Chest X-ray :**

According on chest X-ray findings, commenting on opacity, bronchoalveolar markings and costophrenic angles, results also show there is no significant differences in chest X-ray findings ( $p > 0.05$ ) as demonstrated in **table 3**.



**Figure (2): Oxygen saturation pre procedure, after 2 days and after 7 days in both groups**

**Table (3): Chest X-ray findings pre procedure, after 2 days and after 7 days in both groups**

Variable	Timing	Study (n = 15)	Control (n = 15)	P value
<b>Opacity</b>	Pre	15 (100.0)	14 (93.3)	1.0
	After 2 days	15 (100.0)	13 (86.7)	0.483
	After 7 days	2 (13.3)	5 (33.3)	0.39
<b>Broncho-alveolar Markings</b>	Pre	14 (93.3)	10 (66.7)	0.169
	After 2 days	7 (46.7)	10 (66.7)	0.269
	After 7 days	3 (20.0)	8 (53.3)	0.058
<b>Costo-phrenic Angles</b>	Pre	12 (80.0)	10 (66.7)	0.682
	After 2 days	3 (20.0)	2 (13.3)	1.0
	After 7 days	1 (6.7)	1 (6.7)	1.0

Chi-square or Fisher's exact test was used

#### **4. Discussion**

Chest physiotherapy has been used to clear secretions, prevent accumulation of debris, improve mobilization of airways secretions and help lung ventilation in newborn with respiratory problems and this improves the efficiency and delivery of oxygenation[22].

During the last few decades, the survival of neonates and infants with RDS has increased dramatically. This improvement is mainly due to advances in perinatal medicine and neonatal intensive care [23]. Treatment of RDS consists of respiratory support by different ways of oxygen administration and endotracheal intubation, medications such as (surfactant replacement therapy, bronchodilators, diuretics, sedative and steroids), supportive therapy which is temperature control, adequate nutrition and management of anemia [24]. The present study is a controlled randomized study, comparing between the effects of conventional chest physiotherapy (including postural drainage, suctioning and positioning ) and lung squeezing technique on neonates who were mechanically ventilated with RDS. The measuring variables were vital signs and chest X-ray findings.

Comparing between the mean values of vital signs at the starting of the study, after 2 days and after 7 days for both groups showed statistical significant differences ( $P < 0.05$ ) which was in the form of decreasing in HR and RR while there was increase in SAP, DAP and SaO<sub>2</sub> in favor of the study group. Results also showed that there was no significant differences ( $p > 0.05$ ) when comparing the mean values of findings of chest X-ray (opacity, bronchoalveolar markings and costophrenic angles).

The improvement recorded could be attributed to the combined effects of medical treatment and routine suctioning of the neonates. This could be explained by Cleary et al. [25] who stated that, an improved oxygenation during SIMV in neonates with RDS,

allowed a reduction in ventilation pressure or oxygen exposure in this group of neonates, who were at risk of having complications of ventilation. Early supportive care of premature infants, especially in the treatment of acidosis, hypoxia, hypotension, and hypothermia, may lessen the severity of RDS [26].

This improvement also could be attributed to the combined effects of the designed CPT and the medical treatment. There was decreasing in HR, RR, and PaCO<sub>2</sub> and increasing in SBP, DBP, SaO<sub>2</sub> and PaO<sub>2</sub>. These findings could be explained by Hough et al. [27] who stated that, CPT results in lung mechanical effects, providing optimal respiratory function in order to facilitate gas exchange and adjust ventilation-perfusion adequacy of respiratory support, to prevent and treat pulmonary complications, to provide good maintenance of airways and to facilitate weaning from mechanical ventilation and oxygen therapy.

Abd El-Fattah et al. [28] confirmed that, CPT had significant decrease of PaCO<sub>2</sub> of neonates after 48 hours. So the results of our study come in agreement with those obtained by Abd-El-Fattah et al. [29] who stated that the duration of ventilation was less in those who subjected to CPT.

Scaparrotta et al. had demonstrated that the more extensive part that physiotherapy may play, ought to be considered as far as situating to enhance ventilation and perfusion, once the consolidator stage starts to determine, chest physical therapy (CPT) systems may have some advantage in preparing and clearing emissions. [30]

The results of the current study disagree with that mentioned in Royal college pediatrics and child health [31] which stated that routine CPT is not recommended in neonatal RDS.

Prolonged mechanical ventilation induces pulmonary inflammation in preterm infants. Lung inflammation plays an important role in pathogenesis of chronic lung disease in preterm infants. Results show a strong correlation between duration of mechanical ventilation and the amount of proinflammatory mediators, so it is achievement to reduce the duration of exposure to mechanical ventilation [32].

### **Conclusion**

This study was done to evaluate the effect lung squeezing technique, a recent described chest physiotherapy technique, in comparison between it and the conventional chest physiotherapy (postural drainage, suctioning, and positioning) on mechanically ventilated neonates having RDS. The obtained results showed significant improvement after 2 and 7 days of treatment in vital signs and of the group received lung squeezing technique.

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